

12/10/98

JC135 U.S. PTO  
09/209124  
12/10/98

D: UK9-98-048

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Transmittal

Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor: J. M. Flenley, et al

For: A Filter Module For a Transaction Processing System

Enclosed are:

- ☒ Patent Application
- ☒ Four (4) Sheets of Drawings
- ☐ An assignment of the invention to International Business Machines, Armonk, NY 10504
- ☒ A certified copy of a United Kingdom application filed on May 9, 1998, Serial Number 9809827.0
- ☐ Declaration and Power of Attorney
- ☒ Information Disclosure Statement, PTO 1449 and copies of references.

The filing fee has been calculated as shown below:

Other Than Small Entity

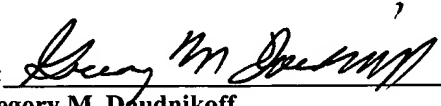
For:	No. Filed	No. Extra	Rate	Fee
Basic Fee				\$ 760.00
Total Claims	14-20 =	0	0 x \$ 22.00=	\$ .00
Indep. Claims	2 - 3 =	0	0x \$ 82.00=	\$ .00
<input type="checkbox"/> Multiple Dependent Claim Presented			\$270.00	\$ .00
			TOTAL	\$ 760.00

Deposit Account Authorization:

- ☒ Please charge Deposit Account No. 09-0461 in the amount of \$760.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0461. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

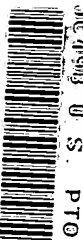
Date: December 10, 1998

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12/10/98



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Docket No. UK9-98-048

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: J. M. Flenley, et al

Serial No.

Filed: Herewith

For: A FILTER MODULE FOR A TRANSACTION PROCESSING SYSTEM

Assistant Commissioner For Patents  
Washington, D.C. 20231

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number: EJ353622725US

Date of Deposit: December 10, 1998

**WE REQUEST THE DATE OF DEPOSIT AS THE DATE FILED.**

I hereby certify that the following **attached** correspondence comprising:

1. Patent Application Transmittal (In duplicate)
2. Declaration and Power of Attorney
3. Recordation and Assignment
4. Application (19 pages)
5. Formal Drawings (4 sheets)
6. Information Disclosure Statement and Form PTO-1449 & 3 references
7. Certified Copy of UK Application No. 9809827.0
7. Business Reply Post Card

is being deposited with the United States Postal Service Express Mail Post Office to Addressee service under CFR 1.10 on the date indicated above and is addressed to:

**Assistant Commissioner of Patents  
Washington, D.C. 20231**

Catherine M. Robbins

(Name of person mailing paper or fee)

Catherine M. Robbins

(Signature of person mailing paper or fee)